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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	10/055797
				Filing Date	January 22, 2002
				First Named Inventor	David Beach
				Art Unit	1638
				Examiner Name	Not Yet Assigned
Sheet	1	of	5	Attorney Docket Number	GNCA-P03-007

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U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
KC	AA	6,326,193	12-04-2001	Liu et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			
KC	AB	WO 01/36646	05-25-2001	Cancer Res. Campaign Tech.	
	AC	WO 01/48183	07-05-2001	Devgen NV	
	AD	WO 01/75164	10-11-2001	Whitehead Inst. Biomed. Res.	
	AE	WO 02/44321	06-06-2002	Max-Planck-Gesellschaft	
	AF	WO 02/059300	08-01-2002	J & J Res. Pty Ltd	
	AG	WO 02/068635	09-06-2002	Novartis	

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¹Applicant's unique citation designation number (optional). ²See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
KC	AH	Bass, B.L. Double-Stranded RNA as a Template for Gene Silencing. <i>Cell</i> 101, 235-238 (2000).			
	AI	Baulcombe, D.C. RNA as a target and an initiator of post-transcriptional gene silencing in transgenic plants. <i>Plant Mol. Biol.</i> 32, 79-88 (1996).			
	AJ	Baulcombe, D.C. Gene silencing: RNA makes RNA makes no protein. <i>Curr. Biol.</i> 9, R599-R601 (1999).			
	AK	Bohmert, K. et al. AGO1 defines a novel locus of <i>Arabidopsis</i> controlling leaf development. <i>EMBO J.</i> 17, 170-180 (1998).			
	AL	Bosher, J.M. et al. RNA Interference Can Target Pre-mRNA: Consequences for Gene Expression in a <i>Caenorhabditis elegans</i> Operon. <i>Genetics</i> 153, 1245-1256 (Nov. 1999).			
	AM	Bosher, J.M. & Labouesse, M. RNA interference: genetic wand and genetic watchdog. <i>Nat. Cell Biol.</i> 2, E31-36 (2000).			
	AN	Catalanotto, C. et al. Gene silencing in worms and fungi. <i>Nature</i> 404, 245 (2000).			

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/055797
Sheet	2	of	5	Attorney Docket Number	GNCA-P03-007

KC	AO	Cogoni, C. & Macino, G. Gene silencing in <i>Neurospora crassa</i> requires a protein homologous to RNA-dependent RNA polymerase. <i>Nature</i> 399, 166-169 (1999).	
	AP	Cogoni, C. & Macino, G. Posttranscriptional Gene Silencing in <i>Neurospora</i> by a RecQ DNA Helicase. <i>Science</i> 286, 2342-2344 (1999).	
	AQ	Connelly, J.C. & Leach, D.R. The sbcC and sbcD genes of <i>Escherichia coli</i> encode a nuclease involved in palindrome inviability and genetic recombination. <i>Genes Cell</i> 1, 285-291 (1996).	
	AR	Dalmay, T. et al. An RNA-Dependent RNA Polymerase Gene in <i>Arabidopsis</i> is Required for Posttranscriptional Gene Silencing Mediated by a Transgene but Not by a Virus. <i>Cell</i> 101, 543-553 (2000).	
	AS	Di Nocera, P.P. & Dawid, I.B. Transient expression of genes introduced into cultured cells of <i>Drosophila</i> . <i>PNAS</i> 80, 7095-7098 (1983).	
	AT	Fagard, M. et al. AG01, QDE-2, and RDE-1 are related proteins required for post-transcriptional gene silencing in plants, quelling in fungi, and RNA interference in animals. <i>PNAS</i> 97, 11650-11654 (10 Oct. 2000).	
	AU	Fire, A. RNA-triggered gene silencing. <i>Trends Genet.</i> 15, 358-363 (1999).	
	AV	Fire, A. et al. Potent and specific genetic interference by double-stranded RNA in <i>Caenorhabditis elegans</i> . <i>Nature</i> 391, 806-811 (1998).	
	AW	Fortier, E. & Belote, J.M. Temperature-Dependent Gene Silencing by an Expressed Inverted Repeat in <i>Drosophila</i> . <i>Genesis</i> 26, 240-244 (2000)..	
	AX	Gillespie, D.E. & Berg, C.A. <i>homeless</i> is required for RNA localization in <i>Drosophila</i> oogenesis and encodes a new member of the DE-H family of RNA-dependent ATPases. <i>Genes Dev.</i> 9, 2495-2508 (1995).	
	AY	Guo, S. & Kemphues, K.J. <i>par-1</i> , a Gene Required for Establishing Polarity in <i>C. elegans</i> Embryos, Encodes a Putative Ser/Thr Kinase that is Asymmetrically Distributed. <i>Cell</i> 81, 611-620 (1995).	
	AZ	Hamilton, J.A. & Baulcombe, D.C. A Species of Small Antisense RNA in Posttranscriptional Gene Silencing in Plants. <i>Science</i> 286, 950-952 (1999).	
	BA	Hammond, S.M. et al. An RNA-directed nuclease mediates post-transcriptional gene silencing in <i>Drosophila</i> cells. <i>Nature</i> 404, 293-296 (2000).	
	BB	Hunter, C. Genetics: A touch of elegance with RNAi. <i>Curr. Biol.</i> 9, R440-R442 (1999).	
	BC	Jacobsen, S.E. et al. Disruption of an RNA helicase/RNase III gene in <i>Arabidopsis</i> causes unregulated cell division in floral meristems. <i>Development</i> 126, 5231-5243 (1999).	
↓	BD	Jones, A.L. et al. De novo methylation and co-suppression induced by a cytoplasmically replicating plant RNA virus. <i>EMBO J.</i> 17, 6385-6393 (1998).	

Examiner Signature	<i>Skobel</i>	Date Considered	10/27/05
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PTO/SB/08A (10-01)

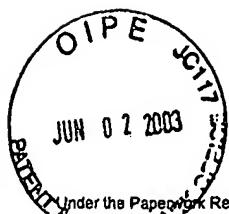
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				First Named Inventor	David Beach
				Art Unit	1638
				Examiner Name	Not Yet Assigned
Sheet	3	of	5	Attorney Docket Number	GNCA-P03-007

KC	BE	Jones, L. et al. RNA-DNA Interactions and DNA Methylation in Post-Transcriptional Gene Silencing. <i>Plant Cell</i> 11, 2291-2301 (Dec. 1999).	
	BF	Kalejta, R.F. et al. An Integral Membrane Green Fluorescent Protein Marker, Us9-GFP, is Quantitatively Retained in Cells during Propidium Iodide-Based Cell Cycle Analysis by Flow Cytometry. <i>Exp. Cell. Res.</i> 248, 322-328 (1999).	
	BG	Kennerdell, J.R. & Carthew, R.W. Use of dsRNA-Mediated Genetic Interference to Demonstrate that frizzled and frizzled 2 Act in the Wingless Pathway. <i>Cell</i> 95, 1017-1026 (1998).	
	BH	Kennerdell, J.R. & Carthew, R.W. Heritable gene silencing in Drosophila using double-stranded RNA. <i>Nat. Biotechnol.</i> 17, 896-898 (2000).	
	BI	Ketting, R.F. et al. mut-7 of <i>C. elegans</i> , Required for Transposon Silencing and RNA Interference, Is a Homolog of Werner Syndrome Helicase and RNaseD. <i>Cell</i> 99, 133-141 (1999).	
	BJ	Kramer, E.R. et al. Activation of the human anaphase-promoting complex by proteins of the CDC20/Fizzy family. <i>Curr. Biol.</i> 8, 1207-1210 (1998).	
	BK	Lam, G. & Thummel, C.S. Inducible expression of double-stranded RNA directs specific genetic interference in Drosophila. <i>Curr. Biol.</i> 10, 957-963 (2000).	
	BL	Lohmann, J.U. et al. Silencing of Developmental Genes in Hydra. <i>Dev. Biol.</i> 214, 211-214 (1999).	
	BM	Matsuda, S. et al. Molecular cloning and characterization of a novel human gene (HERNA) which encodes a putative RNA-helicase. <i>Biochim. Biophys. Acta</i> 1490, 163-169 (2000).	
	BN	Misquitta, L. & Paterson, B.M. Targeted disruption of gene function in Drosophila by RNA interference (RNA-i): A role for nautilus in embryonic somatic muscle formation. <i>PNAS</i> 96, 1451-1456 (Feb. 1999).	
	BO	Montgomery, M.K. et al. RNA as a target of double-stranded RNA-mediated genetic interference in <i>Caenorhabditis elegans</i> . <i>PNAS</i> 95, 15502-15507 (Dec. 1998).	
	BP	Montgomery, M.K. & Fire, A. Double-stranded RNA as a mediator in sequence-specific genetic silencing and co-suppression. <i>Trends Genet.</i> 14, 255-258 (1998).	
	BQ	Mourrain, P. et al. <i>Arabidopsis SGS2 and SGS3 Genes are Required for Posttranscriptional Gene Silencing and Natural Virus Resistance</i> . <i>Cell</i> 101, 533-542 (2000).	
	BR	Ngo, H. et al. Double-stranded RNA induces mRNA degradation in <i>Trypanosoma brucei</i> . <i>PNAS</i> 95, 14687-14692 (Dec. 1998).	
↓	BS	Ratcliff, F. et al. A Similarity Between Viral Defense and Gene Silencing in Plants. <i>Science</i> 276, 1558-1560 (1997).	

Examiner Signature	<i>Kbey</i>	Date Considered	10/27/05
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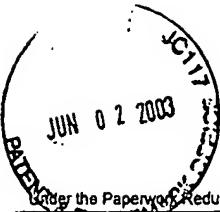
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Sheet	4	of	5	Application Number	10/055797
				Filing Date	January 22, 2002
				First Named Inventor	David Beach
				Art Unit	1638
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	GNCA-P03-007

KC	BT	Sanchez Alvarado, A. & Newmark, P.A. Double-stranded RNA specifically disrupts gene expression during planarian regeneration. <i>PNAS</i> 96, 5049-5054 (April 1999).	
	BU	Schneider, I. Cell lines derived from late embryonic stages of <i>Drosophila melanogaster</i> . <i>J. Embryol. Exp. Morpho.</i> 27, 353-365 (1972).	
	BV	Sharp, P.A. RNAi and double-strand RNA. <i>Genes Dev.</i> 13, 139-141 (1999).	
	BW	Shi, H. et al. Genetic interference in <i>Typanosoma brucei</i> by heritable and inducible double-stranded RNA. <i>RNA</i> 6, 1069-1076 (2000).	
	BX	Shuttleworth, J. & Colman, A. Antisense oligonucleotide-directed cleavage of mRNA in <i>Xenopus</i> oocytes and eggs. <i>EMBO J.</i> 7, 427-434 (1988).	
	BY	Sijen, T. & Kooter, J.M. Post-transcriptional gene-silencing: RNAs on the attack or on the defense? <i>Bioessays</i> 22, 520-531 (2000).	
	BZ	Smardon, A. et al. EGO-1 is related to RNA-directed RNA polymerase and functions in germ-line development and RNA interference in <i>C. elegans</i> . <i>Curr. Biol.</i> 10, 169-178 (2000).	
	CA	Smith, N.A. et al. Total silencing by intron-spliced hairpin RNAs. <i>Nature</i> 407, 319-320 (2000).	
	CB	Tabara, H. et al. RNAi in <i>C. elegans</i> : Soaking in the Genome Sequence. <i>Science</i> 282, 430-432 (1998).	
	CC	Tabara, H. et al. The rde-1 Gene, RNA Interference, and Transposon Silencing in <i>C. elegans</i> . <i>Cell</i> 99, 123-132 (1999).	
	CD	Tavernarakis, N. et al. Heritable and inducible genetic interference by double-stranded RNA encoded by transgenes. <i>Nat. Genet.</i> 24, 180-183 (2000).	
	CE	Timmons, L. & Fire, A. Specific interference by ingested dsRNA. <i>Nature</i> 395, 854 (1998).	
	CF	Tuschl, T. et al. Targeted mRNA degradation by double-stranded RNA in vitro. <i>Genes Dev.</i> 13, 3191-3197 (1999).	
	CG	Vaucheret, H. et al. Transgene-induced gene silencing in plants. <i>Plant J.</i> 16, 651-659 (1998).	
	CH	Wassenegger, M. & Pelissier, T. A model for RNA-mediated gene silencing in higher plants. <i>Plant Mol. Biol.</i> 37, 349-362 (1998).	
	CI	Waterhouse, P.M. et al. Virus resistance and gene silencing in plants can be induced by simultaneous expression of sense and antisense RNA. <i>PNAS</i> 95, 13959-13964 (Nov. 1998).	
CK	CJ	Wianny, F. & Zernicka-Goetz, M. Specific interference with gene function by double-stranded RNA in early mouse development. <i>Nature Cell Biol.</i> 2, 70-75 (2000).	
CK	CK	Wolf, D.A. & Jackson, P.K. Cell cycle: Oiling the gears of anaphase. <i>Curr. Biol.</i> 8, R636-R639 (1998).	

Examiner Signature	<i>Karen C</i>	Date Considered	10/2
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				Filing Date	January 22, 2002
				First Named Inventor	David Beach
				Art Unit	1638
				Examiner Name	Not Yet Assigned
Sheet	5	of	5	Attorney Docket Number	GNCA-P03-007

<input checked="" type="checkbox"/>	CL	Zamore, P.D. et al. RNAi: Double-Stranded RNA Directs the ATP-Dependent Cleavage of mRNA at 21 to 23 Nucleotide Intervals. <i>Cell</i> 101, 25-33 (2000).	
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²Applicant's unique citation designation number (optional). ³Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	10/055797
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				First Named Inventor	David Beach
				Art Unit	1632 C3
				Examiner Name	LACOURCIERE, Karen C=
Sheet	1	of	1	Attorney Docket Number	GNCA-P03-007

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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KC	AA	6,506,559	01-14-2003	Fire et al.	C

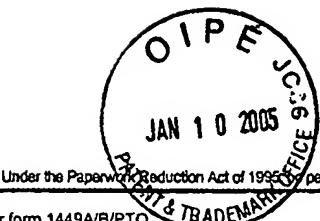
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KC	AB	WO 94/01550	01-20-1994	Hybridon, Inc	
	AC	WO 00/63364	10-26-2000	American Home Products	
	AD	WO 00/44895	08-03-2000	RiboPharma AG	
	AE	WO 99/49029	09-30-1999	Dept. of Primary Industries	
	AF	WO 00/01846	01-13-2000	Devgen N.V.	
	AG	WO 00/44914	08-03-2000	University of Georgia	
	AH	WO 01/49844	07-12-2001	Rutgers University	

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KC	AI	Singh et al. "Inverted-repeat DNA: a new gene-silencing tool for seed lipid modification" <i>Biochem Soc Trans</i> 28(6):925 (2000)			
	AJ	Hammond et al. "Post-transcriptional gene silencing by double-stranded RNA" <i>Nature Rev Genetics</i> 2(2):10 (2001)			
	AK	Piccin et al. "Efficient and heritable functional knock-out of an adult phenotype in Drosophila using a GAL4-driven hairpin RNA Incorporating a heterologous spacer" <i>Nuc Acid Res</i> 29(12):E55 (2001)			

Examiner Signature	Karen Chay	Date Considered	10/27/05
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PTO/SB/08a/b (08-03)

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				Filing Date	January 22, 2002
				First Named Inventor	David H. Beach
				Art Unit	1635
				Examiner Name	K.A. Lacourciere
Sheet	1	of	1	Attorney Docket Number	CSHL-P03-010

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS					
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KL	CA	Agrawal, S., et al., "Antisense therapeutics: is it as simple as complementary base recognition?", Molecular Medicine Today, 61:72-81 (2000).			
	CB	Caplen, N.J., et al., "dsRNA-mediated gene silencing in cultured Drosophila cells: a tissue culture model for the analysis of RNA interference," Gene, 252:95-105 (2000).			
	CC	Caplen, N.J., et al., "RNAi as a gene therapy approach," Expert Opin. Biol. Ther., 3(4):575-586 (2003).			
	CD	Check, E., "RNA to the rescue? Disease therapies based on a technique for gene silencing called RNA interference are racing towards the clinic. Erika Check investigates molecular medicine's next big thing," Nature, 425:10-12 (2003).			
	CE	Jen, K.Y., et al., "Suppression of Gene Expression by Targeted Disruption of Messenger RNA: Available Options and Current Strategies," Stem Cells, 18:307-319 (2000).			
↓	CF	Wadhwa, R., et al., "Know-how of RNA interference and its applications in research and therapy," Mutation Research, 567:71-84 (2004).			

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				Filing Date	January 22, 2002
				First Named Inventor	Gregory J. Hannon
				Art Unit	1635
				Examiner Name	Chong, K.
Sheet	1	of	3	Attorney Docket Number	CSHL-P03-010

CAU.S. PATENT DOCUMENTS					
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KC	AA	2005/0164210	07-28-2005	Mittal et al.	

CBFOREIGN PATENT DOCUMENTS					
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KC	BA	WO 04/029219	04-08-2004	Fridman et al.	
KC	BB	WO 00/44914	08-03-2000	Li et al.	
KC	BC	WO 01/29058	04-26-2001	Mello et al.	

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KC	CA	Ambros V, Dicing Up RNAs, <i>Science</i> 293: 811-813 (2001).			
	CB	Bernstein E, et al., The rest is silence, <i>RNA</i> 7(11):1509-21 (2001).			
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Sheet	2	of	3	Application Number	10/055797
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				First Named Inventor	Gregory J. Hannon
				Art Unit	1635
				Examiner Name	Chong, K.
				Attorney Docket Number	CSHL-P03-010

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	CQ	Hammond SM, et al., Post-transcriptional gene silencing by double-stranded RNA, Nat Rev Genet. 2(2):110-9 (2001).
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				Filing Date	January 22, 2002
				First Named Inventor	Gregory J. Hannon
				Art Unit	1635
				Examiner Name	Chong, K.
Sheet	3	of	3	Attorney Docket Number	CSHL-P03-010

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				First Named Inventor	David H. Beach
				Art Unit	1635
				Examiner Name	K.A. Lacourciere
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KC	AA	US-2002/0114784	08-22-2002	Yin-Xiong Li	
	AB	US-2003/0084471	05-01-2003	David Beach	
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